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Sheet 1 of 2 APPLICATION NO ATTORNEY DOCKET NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 1454.1678 FIRST NAMED INVENTOR INFORMATION DISCLOSURE STATEMENT Elena COSTA FILING DATE GROUP ART UNIT (Use several sheets if necessary) Concurrently **U.S. PATENT DOCUMENTS** *EXAMINER DOCUMENT SUB-**FILING** INITIAL NO. CLASS DATE DATE NAME CLASS AA 2004/0081123 A1 04/29/2004 /B.S./ KRISHNAN et al. FOREIGN PATENT DOCUMENTS DOCUMENT NO. **ABSTRACT** DATE COUNTRY **TRANSLATION** YES NO /B.S./ AB 0 938 193 A1 **EUROPE** 08/25/1999 AC 197 23 090 A1 /B.S./ 12/03/1998 **GERMANY** Χ /B.S./ AD 198 00 953 C1 07/29/1999 Χ **GERMANY** /B.S./ ΑE 198 20 684 A1 11/11/1999 **GERMANY** X /B S / 1 164 807 A2 12/10/2001 EUROPE AG 03/010901 A1 02/06/2003 **WIPO** /B.S./ 102 40 138 A1 AH 08/14/2003 **GERMANY** X /B.S./ ΑI 1 418 776 A1 05/12/2004 EUROPE AJ 102 49 668 A1 05/13/2004 **GERMANY** /B.S./ Х OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) TRANSLATION YES NO E. Costa et al., "Optimization of Capacity Assignment in MC-CDMA /B.S./ ΑK Transmission Systems", in Fazel et al., eds., Multi-Carrier Spread-Spectrum and Related Topics, 2002; pp. 217-224.

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EXPLANATIONS OF RELEVANCY OF REFERENCES

	ALIACHMENT 1(e)
ATTORNEY DOCKET NO.	APPLICATION NO.
1454.1678	1723936
FIRST NAMED INVENTOR	
Elena COSTA	
FILING DATE	GROUP ART UNIT
Concurrently	

German Patent 197 23 090 A1 (Reference AC) was cited in the International Search Report for International Application PCT/EP2004/051563 mailed March 18, 2005.

German Patents 198 00 953 C1 and 198 20 684 A1 (References AD and AD, respectively) were cited in the June 24, 2004 Office Action from the German Patent and Trade Mark Office for which an English translation is provided.

/Brian Stevens/

12/08/2008

Sheet 2 of 2

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

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| BA | Stefan Kaiser; "MC-FDMA and MC-TDMA versus MC-CDMA and SS-MC-MA: Performance Evaluation for Fading Channels"; Proceedings of IEEE 5th International Symposium on Spread Spectrum Techniques and Applications, 1998, pp. 200-204.

| BB | H. Rohling et al., "OFDM Transmission Technique with Flexible Subcarrier Allocation"; IEEE Int'l Conference on Telecommunications ICT 1996; pp. 567-571.

| BC | Thomas Keller et al., "Adaptive Multicarrier Modulation: A Convenient Framework for Time-Frequency Processing in Wireless Communications", Proceedings of the IEEE, Vol., 88, No. 4, May 2000; pp. 611-640.

| BD | T. Kriengchaiyapruk et al., "Dynamic Allocation of Capacity in Utra TDD Systems"; 3G Mobile Communication Technologies, 26-28 March 2001 Conference Publication No. 477; pp. 262-266.

| BE | Andrea Baiochhi et al.; "IP QoS Delivery in a Broadband Wireless Local Loop: MAC Protocol Definition and Performance Evaluation"; IEEE Journal on Selected Areas in Communications; Vol. 18, No. 9, Sept. 2000; pp. 1608-1622.

| BF | Broadband Radio Access Network (BRAN)"; HIPERLAN Type 2; Data Link Control (DLC) Layer; Part 4: Extension for Home Environment; ETSI TS 101 761-4 V1.3.1 (2001-07); pp. 1-123.

	_1	May 2000, pp. 611-640.
/B.S./	BD	T. Kriengchaiyapruk et al., "Dynamic Allocation of Capacity in Utra TDD Systems"; 3G Mobile Communication Technologies, 26-28 March 2001 Conference Publication No. 477; pp. 262-266.
/B.S./	BE	Andrea Baiochhi et al.; "IP QoS Delivery in a Broadband Wireless Local Loop: MAC Protocol Definition and Performance Evaluation"; IEEE Journal on Selected Areas in Communications; Vol. 18, No. 9, Sept. 2000; pp. 1608-1622.
/B.S./	BF	"Broadband Radio Access Network (BRAN)"; HIPERLAN Type 2; Data Link Control (DLC) Layer; Part 4: Extension for Home Environment; ETSI TS 101 761-4 V1.3.1 (2001-07); pp. 1-123.
/B.S./	BG	Khaled Fazel et al., eds.; "Multi-Carrier Spread-Spectrum & Related Topics", Third Int'l Workshop September 26-28, 2001; pp. v-viii.
/B.S./	ВН	Mark Klerer, "Introduction to IEEE 802.20; Technical and Procedural Orientation; March 10, 2003, 44 pages.
/B.S./	BI	Elena Costa et al.; "Capacity Optimisation in MC-CDMA Systems", ETT European Trans. on Telecom; Vol. 13, No. 5, Sept/Oct. 2002; pp. 1-10.
/B.S./	ВЈ	Elena Costa et al.; "Adaptive Sub-Band Allocation in MC-CDMA/FDM Systems", Proc. Int'l OFDM Workshop, (InOWo) 2002, Hamburg, Sept. 2002, 5 pages.
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ATTACHMENT 1(g)

LIST OF ADDITIONAL SUBMITTED DOCUMENTS

ATTORNEY DOCKET NO.	APPLICATION NO.
1454.1678	
FIRST NAMED INVENTOR	<u> </u>
Elena COSTA	
FILING DATE	GROUP ART UNIT
(Concurrently)	

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application; and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			TRANSL YES	ATION NO
/B.S./	AL	International Search Report for International Application PCT/EP2004/051563 mailed March 18, 2005		
/B.S./	AM	German Office Action for German Application No. 103 38 053.1-42; mailed June 24, 3002.	х	

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